

\*শেয়ার বাজারে বিনিয়োগ ঝুঁকিপূর্ণ। জেনে ও বুঝে বিনিয়োগ করুন\*



Initiative for Intelligent Investment

# 3i Securities Limited

## BO Account Opening Form



Initiative for Intelligent Investment

Name of Account Holder	:	<input type="text"/>																					
Trading Code No.	:	<input type="text"/>																					
BO ID	:	<table border="1"><tr><td>1</td><td>2</td><td>0</td><td>6</td><td>2</td><td>0</td><td>0</td><td>0</td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td><td></td></tr></table>	1	2	0	6	2	0	0	0													
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Modhumita Cinema Building (2nd floor), 158-160, Motijheel C/A, Dhaka-1000

Phone: +88 02-223390580, Cell : +88 01321-234555

DSE TREC No. 260 of Dhaka Stock Exchange Limited, BSEC Reg No.: DSE/TREC # 260/2021/287

Web: [www.3isecuritiesltd.com](http://www.3isecuritiesltd.com)



APPLICATION FOR CREDIT FACILITY

Date : 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

MD/Chief Executive Officer  
3i Securities Limited  
Modhumita Cinema Building (2nd floor)  
158-160 Mojitheel C/A , Dhaka-1000

Dear Sir,

I/We, \_\_\_\_\_  
Trading Code No. \_\_\_\_\_ would like to avail the credit facility from your company for purchasing shares/ securities through your broker house. I furnish herewith the necessary information/ documents for your kind perusal.

Your faithfully

Signature

\_\_\_\_\_  
Name :

Trading Code No. :

Bo ID : 

1	2	0	6	2	0	0	0								
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\_\_\_\_\_  
Recommended by:

\_\_\_\_\_  
Approved by:



Initiative for Intelligent Investment

# 3i Securities Limited

Modhumita Cinema Building (2nd floor), 158-160, Motijheel C/A, Dhaka-1000  
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DSE TREC No. 260 of Dhaka Stock Exchange Limited, BSEC Reg No.: DSE/TREC # 260/2021/287

## TERMS AND CONDITIONS FOR OPENING BROKERAGE ACCOUNT

### DECLARATION/ AGREEMENT

I/ We the undersigned whose information is given in the Brokerage Application Form hereby request to be registered as a client of **3i Securities Limited**, to open a brokerage account in my/our/company name. I/We further agree and confirm that the account hereby requested to be opened by me/us shall be held and be governed by the terms and conditions of this agreement as provided hereinafter and as may be modified from time to time by **3i Securities Limited** and that I/we/company name have/has the necessary authority and permission to enter this agreement.

### TERMS AND CONDITIONS

Instruction: A written instruction shall be given to **3i Securities Limited** from time to time to purchase and/or sell investment (which shall mean and include stocks, debentures, mutual funds and private placement or any other similar financial instrument as may be made available from time to time) on behalf of the account holder. On receipt of such instructions along with a cash deposit or delivery of shares, **3i Securities Limited** shall, so far as **3i Securities Limited** considers it reasonably practicable, purchase and/or sell investment in accordance with those instructions, provided always that (I) any such dealings do not contravene any applicable laws or regulations; (II) **3i Securities Limited** shall have an absolute discretion to accept or reject purchase/sell instructions and (III) accounts holder's instruction shall include the following details:

- The name of the investment.
- Quantity.
- Price with notification of limit or discretion.
- The duration of the order.
- The nature of the lot (i.e. scrip size) for sale or the desired format for purchase order (See Note below).
- The order should specify completion formats of:
- All or partial fill with minimum trade value or number of shares.
- Mode of execution i.e. DVP (Delivery versus payment)/NON DVP (non delivery versus payment).

**(Note:** The number of shares constituting a "market lot" may change. Sellers must include the exact format of their holding. This has a direct impact on market pricing given the predominantly retail nature of the market. Trades may fail where non-agreed lot sizes are delivered).

**Joint Accounts:** If this is a joint account, unless the account holders notify **3i Securities Limited** otherwise and provide such documentation as **3i Securities Limited** may require at its sole discretion, the brokerage account(s) shall be held by the account holders jointly with rights or survivorship (payable to either or the survivor). Under these terms and conditions each joint account holder irrevocably appoints the other as attorney in fact to take all action on his or her behalf and to represent him or her in all respects in connection with this agreement. **3i Securities Limited** shall be fully protected and indemnified in acting but shall not be required to act upon the instruction of either of the account holder, who shall be liable, jointly or severally, for any amounts due to **3i Securities Limited** pursuant to this agreement, whether incurred by either both of the account holders.

**Risk:** The account holder understand(s) that the stock market is a rapidly changing market and that there is an inherent risk in incurring loss in share dealings, which **3i Securities Limited**, is not in anyway whatsoever liable and/or responsible for the share dealings of the account holder.

**Limit Orders:** While **3i Securities Limited** will endeavor to purchase or sell the investment within the limits of the prices that may be notified by the account holder, **3i Securities Limited** does not guarantee or assure that the transactions will be materialized on such notified limits, **3i Securities Limited** will always endeavor to obtain the best price.

**Agents:** **3i Securities Limited** is authorized to employ other brokers as agents to perform all or part of its duties under these terms and conditions and to provide information regarding the account to such agents. **3i Securities Limited** may seek and act on an opinion from any lawyer, accountant or profession adviser or other expert and shall not incur any liability by acting upon such opinion.

**Associate Companies:** **3i Securities Limited** may purchase and/or sell investment on behalf of the account holder by placing order with itself and other company whether acting as underwriter(s), investment manager(s), merchant or commercial bank(s), registered or licensed deposit-taker(s), broker (s), dealer(s) or otherwise, or with any other broker(s) and dealer(s) as **3i Securities Limited** in its sole discretion may decide.

**All Rules and Regulations of the Stock Exchange(s):** All transactions duly concluded through and recognized by the Dhaka Stock Exchange Limited (hereafter DSE) is governed by the respective rules of DSE relating to trading and settlement in particular and will be binding on both the parties concerned.

**Payment and Disclosure:** **3i Securities Limited** shall not be obliged to make any payment on behalf of the account holders. **3i Securities Limited** may disclose information regarding the account holder or his/her dealings in relation to this agreement to any department of the government or public, body upon request, whether or not such request is in fact legally enforceable, and **3i Securities Limited** will not be liable in any way to account holder for so doing.

**Cancellation provisions :** **3i Securities Limited** is authorized, in its absolute discretion, should the undersigned die or should **3i Securities Limited** for any reason whatsoever deems it necessary for its protection, without notice, or for any reason to cancel any outstanding orders in order to close out the accounts of the account holder, in whole or in part, or to close out any commitment made on behalf of the account holder.

**Indemnity:** In the event of a default, omission or act committed by **3i Securities Limited** as a broker/member of the DSE the account holder shall be indemnified if and only as provided by the Rules and Regulation of the DSE.

**Confirmation and Settlements :** **3i Securities Limited** shall use its best endeavors to provide the account holder with (a) written confirmation of each transaction it has effected on instructions and (b) contract notes (in such form as **3i Securities Limited** shall determine) setting forth (i) details of the trade date, value date, settlement date, quantity, price, commission rate and DSE 'Howla' number equivalent provided also that the account holder does hereby agree and undertake to confirm in writing beforehand of all its/ther instructions.

**Fees and expenses:** The account holder will pay a brokerage commission of,..... and any other related expenses as charged that may from time to time be applicable, Every transaction concluded through and recognized by the DSE is subject to transaction levies or other fees imposed by the DSE . The account holder understands, acknowledges and accepts that the rate of commission may be changed from time to time at the discretion of **3i Securities Limited**.

**Set-off:** **3i Securities Limited** shall be entitled to, in respect of all commission, costs, charged or expense, set off from any monies from time to time held by **3i Securities Limited** for the account holder and if such monies are insufficient for the purpose, to sell any investment held by **3i Securities Limited** or any of its agents on behalf of the account holder without notification, recourse or instruction from the account holder.

**Period :** This agreement shall be remain in force for a period of..... months/years from the date of signing.

**Terminations:** This agreement will stand terminated :

- i) Upon the expiry of the period of this Agreement unless renewed upon mutual agreement between **3i Securities Limited** and the Account Holder.
- ii) Before the expiry of the period of this Agreement, if either **3i Securities Limited** or the Account Holder gives..... months notice, Termination shall not absolve the parties from completing accounts and adjusting any outstanding dues or respective rights and obligations under this agreement.
- iii) If the account holder fails to make payment for any transaction upon due notice for a period of days.

**Assignment :** The benefits/rights and burdens/obligation of this agreement are capable of assignment by both the account holder and **3i Securities Limited** without the consent of the other but the notice of assignment must be given to the other in writing.

**Force Majeur:** **3i Securities Limited** shall not be liable for any loss, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike/national disaster or any other event or force majeure or circumstances beyond its control.

**Forged Shares:** 3i Securities Limited shall not be liable or responsible for any shares that are found to be forged. However, 3i Securities Limited will make every attempt to replace the forged shares from the seller.

**Law:** The terms and conditions contained herein shall be governed by and be construed in accordance with the laws of Bangladesh.

**Notices:**

(a) All notices, instructions or other communications shall be given in English and in writing (facsimile, telex, telegram, cable or letter) or orally and shall be sent to Force Majeur: 3i Securities Limited shall not be liable for any loss, damages, expenses, costs or otherwise resulting directly or indirectly from any Government restriction, exchange ruling, suspension of trading, war, strike/national disaster or any other event or force majeure or circumstances beyond its control and the account holder at the address, fax and/or telex number shown herein or at such other address as may be communicated by the parties here to in writing.

(b) Proof of delivery or dispatch shall be:

(i) in the case of a letter : dispatch of the letter duly stamped and addressed

(ii) in the case of facsimile, telex, telegram, or cable on the day of dispatch with physical or actual confirmation.

(c) All verbal notices, instructions or other communications should be confirmed in writing within 24 hours.

**Definitions:** Words defined herein, save where a contrary meaning appears or such meaning is inconsistent with the context, shall have this same defined meaning wherever used.

**Sums Due :** For all purposes, including any legal proceedings, a certificate by any of 3i Securities Limited officers confirming the monies and /or liabilities for the time being due and/ or incurred to by the account holder shall be conclusive evidence thereof against him/her.

**Disputes and Resolution of Dispute:** In-case of any dispute arising between the 3i Securities Limited and the account holder in connection with the interpretation or enforcement of the terms and conditions contained herein, attempts should be made to settle the matter amicably, in case of failure to settle the matter amicably, the same shall be referred to arbitration of two arbitrators, each to be nominated by the parties who shall also appoint an Umpire. The decision of the arbitrators, so nominated, shall be binding on both the parties. The arbitration shall be conducted in accordance with the Arbitration Act, 2001.

I/We have read the terms and conditions contained herein above, and having agreed with such terms and conditions I/We put my/our/company seal and signature on this the day of .....20 .....

Signature of the First Account Holder

Signature of the Joint Account Holder



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DSE TREC No. 260 of Dhaka Stock Exchange Limited, BSEC Reg No.: DSE/TREC # 260/2021/287

## CDBL Bye Laws

## BO ACCOUNT OPENING FORM

Form 02

[(CDBL Bye Laws 7.3.3 (b))]

Please Complete all details in **CAPITAL** Letters. Please fill all names correctly.  
All Communication shall be sent only to the First Named Account Holder's correspondences Address.

Application No : .....

Date : 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Please Tick  whichever is applicable

**BO Category:** Regular  Omnibus  Clearing  **BO Type:** Individual  Company  Joint Holder

Name of CDBL Participant (Up to 99 Characters) : <b>3i Securities Limited</b>																				
<b>CDBL Participant ID</b>				<b>BO ID</b>				<b>Account Opened Date (DDMMYYYY)</b>												
6	2	0	0	0	1	2	0	6	2	0	0	0								

**I/We request you to open a Depository Account in my / our name as per the following details:** **Link Code :**

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### 1. FIRST APPLICANT

Name in full of Account Holder (Up to 99 Characters) : .....

Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms /Dr, abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

--	--

(In case of a Company / Firm / Statutory Body) Name of Contact Person : .....

In case of individual : Male  Female  Occupation (30 Characters) : .....

Father's / Husband's Name : .....

Mother's Name : .....

### 2. CONTACT DETAILS

Address : .....

City : ..... Post Code : ..... State/Division : ..... Country : ..... Telephone: .....

Mobile phone: ..... Fax : ..... E-mail : .....

### 3. PASSPORT DETAILS

Passport No. : ..... Issue Place : ..... Issue Date : ..... Expiry Date : .....

### 4. BANK DETAILS

Routing No : ..... Bank Account No : .....

Bank Name : ..... Branch Name : ..... District Name : .....

Bank Identifier Code (BIC) : ..... SWIFT Code : ..... International Bank A/C No. (IBAN) : .....

Electronic Dividend Credit : Yes  No  Tax Exemption if any : Yes  No  TIN / Tax ID : .....

### 5. OTHERS INFORMATION

Residency : Resident  Non Resident  Nationality : ..... Date of Birth 

D	D	M	M	Y	Y	Y	Y
---	---	---	---	---	---	---	---

Statement Cycle Code ; Daily  Weekly  Fortnightly  Monthly  Other (Please Specify) : .....

Internal Reference No. (To be filled in by CDBL Participant) : .....

National ID Card No.:

(In case of Company) : Date of Registration (DDMMYYYY)

Registration No. : ..... 

D	D	M	M	Y	Y	Y	Y
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### 6. JOINT APPLICANT (SECOND ACCOUNT HOLDER)

Name in full of Account Holder (Up to 99 Characters) : .....

Short Name of Account Holder (Insert full name starting with Title i.e. Mr./Mrs./Ms /Dr, abbreviate only if over 30 characters) Title i.e. Mr./Mrs./Ms./Dr.

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CDBL Bye Laws

Form 23

## BO Account Nomination Form

Please complete all details in CAPITAL letters. **Please fill all names correctly.** All communications shall be sent to the correspondence address of only the First Named Account Holder as specified in BO Account Opening Form -02.

Application No.....

Date (DDMMYYYY).....

Name of CDBL Participant (Up to 99 Characters) <b>3i Securities Limited</b>		CDBL Participant ID
.....		6 2 0 0 0
Account holder's BO ID	1 2 0 6 2 0 0 0	
Name of Account Holder ( Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)		
.....		

I / We nominate the following person(s) who is/are entitled to receive securities outstanding in my/our account in the event of the death of the sole holder / all the joint holders.

### 1. Nominee / Heirs Details

<b>Nominee 1</b>	
Name in Full	
.....	
Short Name of Nominee ( Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)	Title i.e. Mr. / Mrs.
.....	.....
Relationship with A/C Holder:.....	Percentage (%) .....
Address	
.....	
City.....	Post Code..... State / Division .....
Country.....	Telephone.....
Mobile Phone.....	Fax..... E-mail.....
Passport No.....	Issue Place..... Issue Date..... Expiry Date.....
Residency: Resident <input type="checkbox"/> Non Resident <input type="checkbox"/>	Nationality..... Date Of Birth (DDMMYYYY) .....
<b>Guardian's Details (if Nominee is a Minor)</b>	
Name in Full	
.....	
Short Name ( Insert full name starting with Title i.e. Mr. / Mrs. / Ms / Dr, abbreviate only if over 30 characters)	
.....	
Relationship with Nominee .....	Date of Birth of Minor (DDMMYYYY ) .....
	Maturity Date of Minor(DDMMYYYY ).....
Address	
.....	
City.....	Post Code..... State / Division .....
Country.....	Telephone.....
Mobile Phone.....	Fax..... E-mail.....
Passport No.....	Issue Place..... Issue Date..... Expiry Date.....
Residency: Resident <input type="checkbox"/> Non Resident <input type="checkbox"/>	Nationality..... Date Of Birth (DDMMYYYY) .....





4. Photograph of Power of Attorney Holder

Please  
paste  
recent  
passport  
size  
Photograph

(POA Holder)

**5. DECLARATION**

The rules and regulations of the Depository and CDBL Participant pertaining to an account which are in force now have been read by me/us and I/we have understood the same and I/we agree to abide by and to be bound by the rules as are in force from time to time for such accounts. I/We also declare that the particulars given by me/us are true to the best of my/our knowledge as on the date of making such application. I/We further agree that any false/misleading information given by me/us or suppression of any material fact will render my/our account liable for termination and further action.

Applicants	Name of applicants / Authorized signatories in case of Ltd Co.	Signature with date
POA Holder		
First Applicant		
Second Applicant		
3 <sup>rd</sup> Signatory (Ltd Co. only)		

**Central Depository Bangladesh Limited (CDBL)**  
**Depository Account (BO Account) opened with CDBL Participant**  
**Terms & Conditions – Bye Laws 7.3.3(c)**

**DSE TREC No. 260 of Dhaka Stock Exchange Limited, BSEC Reg No.: DSE/TREC # 260/2021/287**

Dear Sir,

Please open a Depository account (BO Account) in my/our names(s) on the terms and conditions set out bellow. In consideration of **3i Securities Limited** (the “CDBL Participant”) opening the account providing depository account facilities to me/us, I/we have signed the BO Account Opening Form as a token of acceptance of the terms and conditions set out bellow.

1. I/we agree to be bound by The Depositories Act, 1999, Depositories Regulations, 2000, The Depository (User) Regulations 2003, and abide by the Bye Laws and Operating Instructions issued from time to time by CDBL.
2. CDBL shall allocate a unique identification number to me/us (Account Holder BO ID) for the CDBL Participant to maintain a separate Account for me/us, unless the I/we instructs the CDBL Participant to keep the securities in an Omnibus Account of the CDBL Participant. The CDBL Participant shall however ensure that my/our securities shall not be mixed with the CDBL Participant’s own securities.
3. I/we agree to pay such fees, charges and deposits to the CDBL Participant, as may be mutually agreed upon, for the purpose of opening and maintaining my/our account, for carrying out the instructions and for rendering such other services as are incidental or consequential to my/our holding securities in and transacting through the said depository account with the CDBL Participant.
4. I/we shall be responsible for:
  - (a) The veracity of all statements and particulars set out in the account opening form, supporting or accompanying documents;
  - (b) The authenticity and genuineness of all certificates and/or documents submitted to the CDBL Participant along with or in support of the account opening form or subsequently for dematerialization;
  - (c) Title to the securities submitted to the CDBL Participant from time to time for dematerialization;
  - (d) Ensuring at all times that the securities to the credit of my/our account are sufficient to meet the instructions issued to the CDBL Participant for effecting any transaction / transfer;
  - (e) Informing the CDBL Participant at the earliest of any changes in my/our account particulars such as address, bank details, status, authorizations, mandates, nomination, signature, etc.;
  - (f) Furnishing accurate identification details whilst subscribing to any issue of securities.
5. I/we shall notify the CDBL Participant of any change in the particulars set out in the application form submitted to the CDBL Participant at the time of opening the account or furnished to the CDBL Participant from time to time at the earliest. The CDBL Participant shall not be liable or responsible for any loss that may be caused to me/us by reason of my/our failure to intimate such change to the CDBL Participant at the earliest.
6. Where I/we have executed a BO Account Nomination Form
  - a) In the event of my/our death, the nominee shall receive/draw the securities held in my/our account
  - b) In the event, the nominee so authorised remains a minor at the time of my/our death, the legal guardian is authorised to receive/draw the securities held in my/our account.
  - c) The nominee so authorised, shall be entitled to all my/our account to the exclusion of all other persons i.e., my/our heirs, executors and administrators and all other persons claiming through or under me/us and delivery of securities to the nominee in pursuance of this authority shall be binding on all other persons.
7. I/we may at any time call upon the CDBL Participant to close my/our account with the CDBL Participant provided no instructions remain pending or unexecuted and no fees or charges remain payable by me/us to the CDBL Participant. In such event I/we may close my/our account by executing the Account Closing Form if no balances are standing to my/our credit in the account. In case any balances of securities exist in the account the account may be closed by me/us in one of the following ways:
  - (a) By rematerialization of all existing balances in my/our account;

- (b) By transfer of all existing balances in my/our account to one or more of my/our other account(s) held with any other CDBL Participant(s);
  - (c) By rematerialization of a part of the existing balances in my/our account and by transferring the rest to one or more of my /our other account(s) with any other CDBL Participant(s);
8. CDBL Participant covenants that it shall
- a) act only on the instructions or mandate of the Account Holder or that of such person(s) as may have been duly authorized by the Account Holder in that behalf .
  - b) not effect any debit or credit to and from the account of the Account Holder without appropriate instructions from the Account Holder.
  - c) maintain adequate audit trail of the execution of the instructions of the Account Holder.
  - d) not honour or act upon any instructions for effecting any debit to the account of the Account Holder in respect of any securities unless:
    - (i) Such instructions are issued by the Account Holder under his signature or that of his/its constituted attorney duly authorized in that behalf;
    - (ii) The CDBL Participant is satisfied that the signature of the Account Holder under which instructions are issued matches with the specimen of the Account Holder or his / its constituted attorney available on the records of the CDBL Participant;
    - (iii) The balance of clear securities available in the Account Holder's account are sufficient to honour the Account Holder's instructions.
  - e) furnish to the Account Holder a statement of account at the end of every month if there has been even a single entry or transaction during that month, and in any event once at the end of each financial year. The CDBL Participant shall furnish such statements at such shorter periods as may be required by the Account Holder on payment of such charges by the Account Holder as may be specified by the CDBL Participant. The Account Holder shall scrutinize every statement of account received from the CDBL Participant for the accuracy and veracity thereof and shall promptly bring to the notice of the CDBL Participant any mistakes, inaccuracies or discrepancies in such statements.
  - f) promptly attend to all grievances / complaints of the Account Holder and shall resolve all such grievances / complaints as it relate to matters exclusively within the domain of the CDBL Participant within one month of the same being brought to the notice of the CDBL Participant and shall forthwith forward to and follow up with CDBL all other grievances / complaints of the Account Holder on the same being brought to the notice of the CDBL Participant and shall endeavour to resolve the same at the earliest.
9. The CDBL Participant shall be entitled to terminate the account relationship in the event of the Account Holder:
- (a) Failing to pay the fees or charges as may be mutually agreed upon within a period of one month from the date of demand made in that behalf;
  - (b) Submitting for dematerialization any certificates or other documents of title which are forged, fabricated, counterfeit or stolen or have been obtained by forgery or the transfer whereof is restrained or prohibited by any direction, order or decree of any court or the Securities and Exchange Commission;
  - (c) Commits or participates in any fraud or other act of moral turpitude in his / its dealings with the CDBL Participant;
  - (d) Otherwise misconducts himself in any manner.

10. Declaration and Signature

I/we hereby acknowledge that I/we have read and understood the aforesaid terms and conditions for operating Depository Account (BO Account) with CDBL Participant and agree to comply with them.

Applicants	Name of applicants / Authorized signatories in case of Ltd Co.	Signature with date
First Applicant		
Second Applicant		
3 <sup>rd</sup> Signatory (Ltd Co. only)		





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DSE TREC No. 260 of Dhaka Stock Exchange Limited, BSEC Reg No.: DSE/TREC # 260/2021/287

## Required Documents

### List of Required Documents for Individual Account:

Yes / No

1.	3	Passport Size Photograph (Applicant*s name in block letter, sign.		
2.	2	Passport Size Nominees Photograph (Attested by thé applicant). In case the nominee is minpr, a document of guardian must be given by the applicant.		
3.		Photocopy of National ID (Both Account Holder & Nomine).		
4.		If National ID Card in not available then, Photocopy of Passport/Driving License/ Nationality Certificate with attested Photo		
5.		Bank Certificate (Mandatory In Case Where National ID Card is not available) / Bank Statement / Cheque Slip.		
6.		Photocopy of Empolyee ID Card / (applicable only for service holder)		
7.		Trade license / approval of operational head (if profession is business).		
8.		2 Photo of authorized person, if any (Attested by the applicant) and also a copy of any national photo ID of the authorized person.		

### List of Required Documents for Joilt Account:

1.	3	Passport Size Photograph of both applicants (Applicant*s name in block letter, sign.		
2.	2	Passport Size Nominees Photograph (Attested by thé applicant). In case the nominee is minpr, a document of guardian must be given by the applicant.		
3.		Photocopy of National ID of both applicatns.		
4.		if national ID Card is not available then, Photocopy of Passport/Driving License/ Nationality Certificate with attested photo of both applicants.		
5.		Bank Certificate (Mandatory in case where National ID Card is not available) / Bank Statment (at least for the principal applicant).		
6.		Photocopy of Employee ID Card / (applicable only for service holder), (at least for the principal applicant).		
7.		Trade license (if profession is business).		
8.		2 photo of authorized person, if any (Attested by both applicats) and also a copy of any national photo ID of the authorized person.		

### List of Required Documents for Corporate Account (Primary Documents):

1.	3	Photographs of Managing Director(s) / All of the partners / Members of the Governing Bodies with their names in block letters, sign.		
2.		Bank Certificate / Bank Statement of the organization.		
3.		Photocopy of Managing Director's / All of the Partners' / Members of the Governing Bodies' National ID Card / Valid Passport / Driving License / Nationality Certificate with attested photographs.		

### List of Required Documents (Proprietorship):

1.		Copy of Valid Trade License.		
2.	2	Photograph of Authorized Signatory (if any) attested by the principal applicant.		
3.		If Nominee is mentioned, then nominee's signature and 2 photograph attested by the applicant.		





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DSE TREC No. 260 of Dhaka Stock Exchange Limited, BSEC Reg No.: DSE/TREC # 260/2021/287

## Required Documents (Cont.)

### List of Required Documents (Partnership):

Yes / No

1.	Copy of Valid Trade License.		
2.	Partnership Deed.		
3.	1 Photograph of Authorized Signatory (if any) attested by all the partners.		
4.	Partners letter of authority to open account and authorization for operation.		

### List of Required Documents (Liability Company):

1.	Copy of Valid Trade License.		
2.	Copy of RJSC Certified Memorandum and Article of Association (MEMART) and please check the below documents in the Memorandum and Article of Association:		
	a. Copy of certificate of incorporation and commencement of business (for Public Ltd. Co.)		
	b. Latest copy of form XI		
	c. List of Directors along with address (Or) A license from Bangladesh Bank (in case of financial institution).		
3.	List of authorized signatories along with address.		
4.	Copy of Board resolution to open the account and authorization for operation.		

### List of Required Documents (Association / Trust / Society / Provident Fund):

1.	Trust deed (for trust account only).		
2.	Copy of constitution / bylaws / rules.		
3.	Certificate of registration.		
4.	List of members of the governing bodies along with addresses.		
5.	Copy of resolution of governing body to open the account and authorization for operation.		
6.	List of authorized signatories along with addresses.		
7.	Reorganization Letter from NBR (for provident fund only).		



Initiative for Intelligent Investment



Initiative for Intelligent Investment

# 3i Securities Limited

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